

# FORM A

## Federal Reserve Bank of Richmond FINANCIAL DISCLOSURE REPORT

Reporting Status <input checked="" type="checkbox"/> Incumbent (Check Appropriate <input type="checkbox"/> New Filer Box)	[For incumbent filers] Calendar Year Covered By Report 2021	Date of Appointment January 1, 2018	<h3 style="text-align: center;">Reporting Periods</h3> <p>Incumbents: The reporting period is the preceding calendar year except with regard to Part II of Schedule C and Schedule D where you must also include the filing year up to the date you file.</p> <p>New Filers:          Schedule A-The reporting period for income is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date that is less than 31 days before the date of filing.</p> <p>Schedule B-Not applicable.</p> <p>Schedule C, Part I (Liabilities)-The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date filing.</p> <p>Schedule C, Part II (Agreement or Arrangement)-Show any agreement or arrangement as of the date of filing.</p> <p>Schedule D, Part I (Outside Positions)-The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p> <p>Schedule D, Part II (Other Situations)-The reporting period is as of the date of filing.</p>
Last Name Barkin		First Name and Middle Initial Thomas I.	
Position President and Chief Executive Officer			
Certification	Signature of Reporting Individual	Date	
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[REDACTED]	5/16/2022	
Reserve Bank Ethics Officer's Review	Signature of Reserve Bank Ethics Officer	Date	
On the basis of information contained in this report and in consultation with the Board's Designated Agency Ethics Official, I conclude that the filer is in compliance with applicable ethics laws and policies.	[REDACTED]	5/18/2022	
Board Designated Agency Ethics Official's Review	Signature of Board Designated Agency Ethics Official	Date	
On the basis of information contained in this report and in consultation with the Reserve Bank Ethics Officer, I conclude that the filer is in compliance with applicable ethics laws and policies.	[REDACTED]	6/3/2022	
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)			
Filer has reported that all corporate bonds will mature by year-end 2022 and municipal bonds will either mature or be sold prior to 5/1/2023, as required by FOMC Investment and Trading Policy.			
You may use a separate page if you need additional space to complete this Form A or any of its Schedules.			Check box if comments are continued on the reverse side) <input type="checkbox"/>







31	General Dynamics corporate bonds (maturing by year-end 2022 and will no longer be held pursuant to FOMC Investment and Trading Policy)	J				X					X											X							

Reporting Individual's Name Thomas I. Barkin	(Schedule Not Applicable)	<b>SCHEDULE B</b>	Calendar Year Covered 2021	Page Number 5
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**Part I: Transactions**

Report any purchase, sale or exchange by you, your spouse or dependent child during the reporting period of any real estate, stocks, bonds, commodity futures and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction

involving real estate used solely as your personal residence (unless rented out), or a transaction solely between you, your spouse or dependent child. Check the "Required divestiture" block to indicate sales made pursuant to a divestiture required by the Bank.

None

Identification of Assets		O W N E R	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (X)						Required divestiture
Example:			Purchase	Sale	Exchange		\$1,000 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000		
	Central Airlines Common	S	x		2/1/93	x							
1	State of Georgia 529 in school enrollment portfolio	J		X	8/5/21	X							
2	State of Georgia 529 in school enrollment portfolio	J		X	12/20/21	X							
3	State of Georgia 529 in school enrollment portfolio	J		X	1/4/21	X							
4	SunTrust GA State Bond matured on 1/4/21	J			1/4/21				X				
5													
6													
7													
8													
9													
10													
11													
12													
13													

**Part II: Gifts**

To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more. Exclude gifts from relatives, gifts valued at

\$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.

None

#	Source (Name and Address)	Brief Description	Value
1	N/A		
2			
3			





Reporting Individual's Name Thomas I. Barkin	<b>SCHEDULE D</b>	Calendar Year Covered 2021	Page Number 7
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**Part I: Positions Held Outside the Federal Reserve Bank** None

Report any position held by you during the reporting period, whether compensated or not. A position includes but is not limited to employee, officer, owner, director, trustee, partner, advisor or consultant of any corporation, firm, partnership or other business enterprise, or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal or political entities, and those solely of an honorary nature. Also report any position held by your spouse, a child, parent or sibling with a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding company, primary government securities dealer, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank.

	Organization (Name and Address)	Holder	Type of Organization	Position	From (Mo. Yr.)	To (Mo. Yr.)
Examples:	Mo. Bar Assoc., Jefferson, City MO	E	Professional	Director	9/93	Present
	ABC Bank, Hometown, USA	Brother	Bank	Loan Officer	7/85	Present
1	Emory University	self	educational	Trustee	2015	present
2	US Golf Association	self	nonprofit	Executive Committee	2017	present
3	Terry S Barkin children grantor trusts	self	family trust	Trustee	2016	present
4	Richmond Management Round Table	self	business organization	Member	1/2018	present
5	Greater Washington Partnership	self	nonprofit	Honorary Advisory Member	6/2018	present
6	Community Foundation for a greater Richmond	Self	Nonprofit	Board Member	1/2021	present

**Part II: Other Situations**

Describe any other relationship or circumstances that you believe might constitute an actual or apparent conflict of interest or violation of law or Bank policy. Provide all relevant information.

N/A

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