

Board of Governors of the Federal Reserve System



Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2013

Month / Day / Year

I, Richard D Calicutt II

Reporter's Name, Street, and Mailing Address

BNC Bancorp

Name of the Holding Company Director and Official

President/CEO

Legal Title of Holding Company

3980 Premier Dr Ste 210

Title of the Holding Company Director and Official

(Mailing Address of the Holding Company) Street / P.O. Box

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

High Point NC 27265  
City State Zip Code

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

Physical location (if different from mailing address)

Person to whom questions about this report should be directed:

Ronald J Gorczynski EVP/CAO

Name Title

3364769200

Area Code / Phone Number / Extension

3368411118

Area Code / FAX Number

rgorczynski@bankofnc.com

E-mail Address

www.bncbancorp.com

Address (URL) for the Holding Company's web page

Signature of Holding Company Director and Official

03/28/2014

Date of Signature

For holding companies not registered with the SEC--

Indicate status of Annual Report to Shareholders:

- is included with the FR Y-6 report
- will be sent under separate cover
- is not prepared

For Federal Reserve Bank Use Only

RSSD ID

C.I.

Does the reporter request confidential treatment for any portion of this submission?

Yes Please identify the report items to which this request applies:

In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.

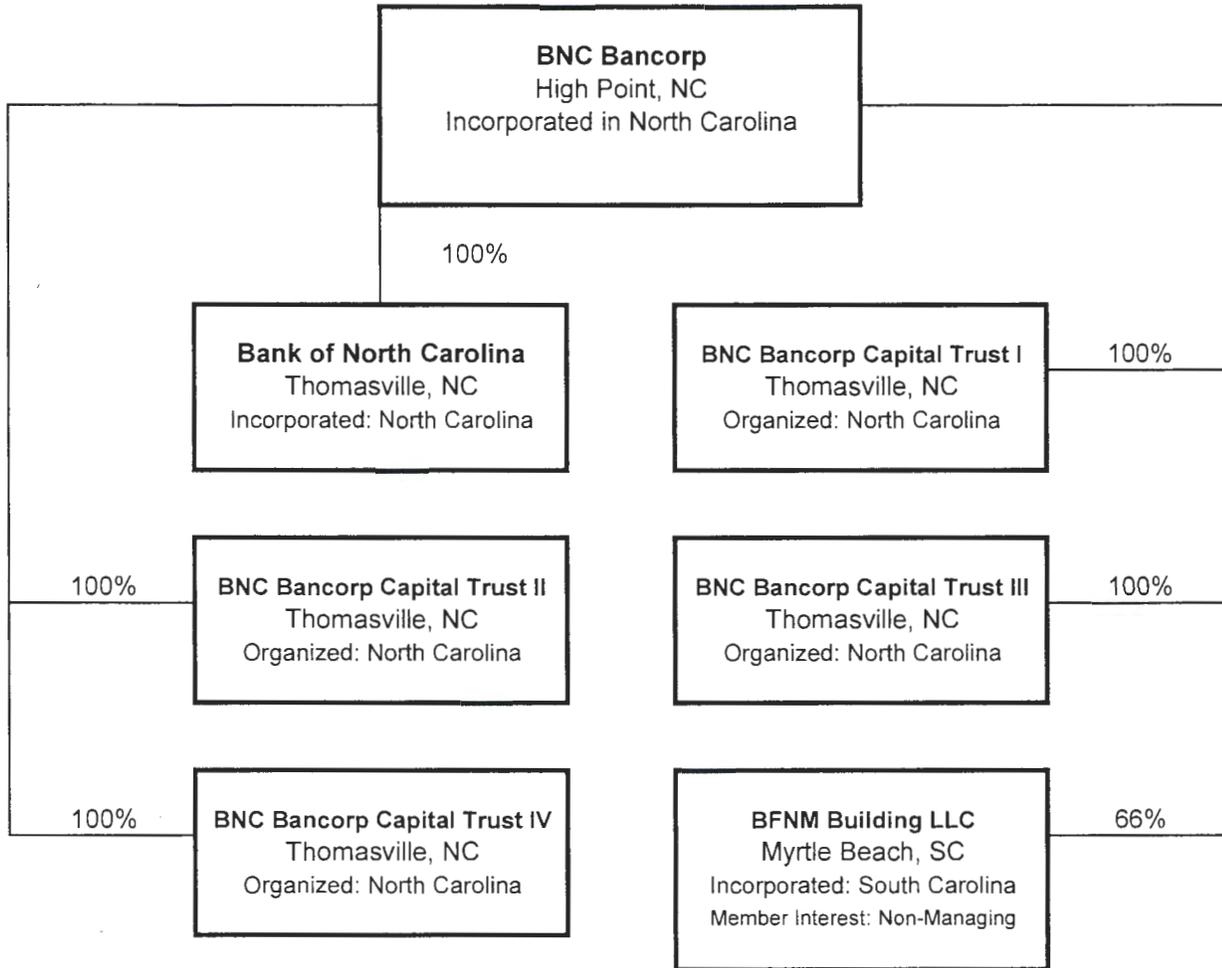
The information for which confidential treatment is sought is being submitted separately labeled "Confidential."

No

Form FR Y-6  
BNC Bancorp  
December 31, 2013

Item 1 - Annual Report to Shareholders: BNC Bancorp is an SEC registrant

Item 2a - Organization Chart



Item 2b - Domestic Branch Listing: Provided to Federal Reserve Bank

Results: A list of branches for your holding company: BNC BANCORP [3141650] of HIGH POINT, NC.  
 The data are as of 03/31/2014. Data reflects information that was received and processed through 04/02/2014.

**Reconciliation and Verification Steps**

1. In the Data Action column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the Effective Date column.

**Actions**

OK: If the branch information is correct, enter 'OK' in the Data Action column.  
 Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.  
 Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.  
 Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.  
 Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

**Submission Procedure**

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.  
 If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:  
 To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.  
 The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

| Data Action | Effective Date | Branch Service Type        | Branch ID_RSSD* | Popular Name                      | Street Address                           | City               | State | Zip Code | County       | Country       | FDIC UNINUM* | Office Number* | Head Office            | Head Office ID_RSSD* | Comments |
|-------------|----------------|----------------------------|-----------------|-----------------------------------|--|--------------------|-------|----------|--------------|---------------|--------------|----------------|------------------------|----------------------|----------|
| OK          |                | Full Service (Head Office) | 1908082         | BANK OF NORTH CAROLINA            | 831 JULIAN AVENUE                        | THOMASVILLE        | NC    | 27360    | DAVIDSON     | UNITED STATES | 47377        | 0              | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 477929          | BURLINGTON BRANCH                 | 3239 SOUTH CHURCH STREET                 | BURLINGTON         | NC    | 27215    | ALAMANCE     | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3170986         | HILTON HEAD ISLAND BRANCH         | 1000 WILLIAM HILTON PARKWAY, SUITE F-4   | HILTON HEAD ISLAND | SC    | 29928    | BEAUFORT     | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4348438         | ASHEVILLE BRANCH                  | 1999 HENDERSONVILLE ROAD                 | ASHEVILLE          | NC    | 28803    | BUNCOMBE     | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4022082         | CONCORD BRANCH                    | 271 COPPERFIELD BOULEVARD                | CONCORD            | NC    | 28025    | CABARRUS     | UNITED STATES | 495652       | 18             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3545052         | HARRISBURG BRANCH                 | 3890 MAIN STREET                         | HARRISBURG         | NC    | 28075    | CABARRUS     | UNITED STATES | 448965       | 9              | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4582616         | EAST BAY BRANCH                   | 291 EAST BAY STREET                      | CHARLESTON         | SC    | 29401    | CHARLESTON   | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4414397         | WEST ASHLEY BRANCH                | 4 CARRIAGE LANE, SUITE 101               | CHARLESTON         | SC    | 29407    | CHARLESTON   | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4154787         | MOUNT PLEASANT BRANCH             | 1509 N HIGHWAY 17                        | MOUNT PLEASANT     | SC    | 29464    | CHARLESTON   | UNITED STATES | 495358       | 43             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 2645795         | LEXINGTON BRANCH                  | 115 EAST CENTER STREET                   | LEXINGTON          | NC    | 27292    | DAVIDSON     | UNITED STATES | 289722       | 2              | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3545061         | NORTHERN DAVIDSON BRANCH          | 6355 OLD HIGHWAY 52                      | LEXINGTON          | NC    | 27295    | DAVIDSON     | UNITED STATES | 453832       | 11             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3283671         | NATIONAL HIGHWAY BRANCH           | 1317 NATIONAL HIGHWAY                    | THOMASVILLE        | NC    | 27360    | DAVIDSON     | UNITED STATES | 289723       | 3              | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4443865         | DURHAM BRANCH                     | 280 SOUTH MAGNUM STREET, SUITE 140       | DURHAM             | NC    | 27701    | DURHAM       | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 2625023         | KERNERSVILLE BRANCH               | 211 BROAD STREET                         | KERNERSVILLE       | NC    | 27284    | FORSYTH      | UNITED STATES | 57788        | 4              | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3727812         | WINSTON SALEM BRANCH              | 1810 NORTH PEACE HAVEN ROAD              | WINSTON SALEM      | NC    | 27104    | FORSYTH      | UNITED STATES | 479331       | 16             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3824270         | GREENVILLE BRANCH                 | 550 E. MCBEE AVENUE                      | GREENVILLE         | SC    | 29601    | GREENVILLE   | UNITED STATES | 473492       | 39             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 2948049         | FRIENDLY BRANCH                   | 3702 NORTHLINE AVENUE                    | GREENSBORO         | NC    | 27408    | GUILFORD     | UNITED STATES | 419007       | 13             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4354680         | GREENSBORO ELM STREET BRANCH      | 2701 N ELM STREET                        | GREENSBORO         | NC    | 27408    | GUILFORD     | UNITED STATES | 528022       | 41             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3928794         | EASTCHESTER BRANCH                | 2630 EASTCHESTER DRIVE                   | HIGH POINT         | NC    | 27265    | GUILFORD     | UNITED STATES | 483140       | 17             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3321715         | HIGH POINT BRANCH                 | 801 N ELM STREET                         | HIGH POINT         | NC    | 27262    | GUILFORD     | UNITED STATES | 442455       | 6              | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4354701         | HENDERSONVILLE BRANCH             | 1012 OLD SPARTANBURG ROAD                | HENDERSONVILLE     | NC    | 28792    | HENDERSON    | UNITED STATES | 287812       | 31             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4550035         | MURRELLS INLET BRANCH             | 11975 HIGHWAY 17 BYPASS                  | MURRELLS INLET     | SC    | 29576    | HORRY        | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4115331         | MYRTLE BEACH MAIN GRISSOM BRANCH  | 3751 ROBERT M GRISSOM PARKWAY, SUITE 100 | MYRTLE BEACH       | SC    | 29577    | HORRY        | UNITED STATES | 57001        | 19             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3039346         | SURFSIDE BEACH BRANCH             | 3064 DICK POND ROAD                      | MYRTLE BEACH       | SC    | 29588    | HORRY        | UNITED STATES | 360615       | 20             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3158966         | NORTH MYRTLE BEACH BRANCH         | 710 HWY 17 NORTH                         | NORTH MYRTLE BEACH | SC    | 29582    | HORRY        | UNITED STATES | 419024       | 22             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3301708         | MOORESVILLE - GATEWAY BLVD BRANCH | 108 GATEWAY BOULEVARD, SUITE 101         | MOORESVILLE        | NC    | 28117    | IREDELL      | UNITED STATES | 429530       | 49             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4367961         | CARMEL ROAD BRANCH                | 6342 CARMEL ROAD                         | CHARLOTTE          | NC    | 28226    | MECKLENBURG  | UNITED STATES | 527716       | 40             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 2761912         | CHARLOTTE THIRD STREET BRANCH     | 1420 EAST THIRD STREET                   | CHARLOTTE          | NC    | 28204    | MECKLENBURG  | UNITED STATES | 61926        | 47             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4297545         | SOUTHPARK BRANCH                  | 5980 FAIRVIEW ROAD                       | CHARLOTTE          | NC    | 28210    | MECKLENBURG  | UNITED STATES | 521471       | 27             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3725760         | CHAPEL HILL BRANCH                | 504 MEADOWMONT VILLAGE CIRCLE            | CHAPEL HILL        | NC    | 27517    | ORANGE       | UNITED STATES | 478996       | 45             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 2645786         | ARCHDALE BRANCH                   | 113 TRINDALE ROAD                        | ARCHDALE           | NC    | 27263    | RANDOLPH     | UNITED STATES | 289721       | 1              | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 115221          | ASHEBORO BRANCH                   | 175 NORTH FAYETTEVILLE STREET            | ASHEBORO           | NC    | 27203    | RANDOLPH     | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 212429          | ASHEBORO EAST DIXIE DRIVE BRANCH  | 854 EAST DIXIE DRIVE                     | ASHEBORO           | NC    | 27203    | RANDOLPH     | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3119006         | ASHEBORO WEST POINTE BRANCH       | 415 NC HIGHWAY 49 SOUTH                  | ASHEBORO           | NC    | 27205    | RANDOLPH     | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 1224616         | RANDLEMAN BRANCH                  | 109 WEST NAOMI STREET                    | RANDLEMAN          | NC    | 27317    | RANDOLPH     | UNITED STATES | Not Required | Not Required   | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3545034         | SALISBURY BRANCH                  | 415 JAKE ALEXANDER BOULEVARD W           | SALISBURY          | NC    | 28147    | ROWAN        | UNITED STATES | 448966       | 10             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4354907         | BREVARD BRANCH                    | 130 S BROAD STREET                       | BREVARD            | NC    | 28712    | TRANSYLVANIA | UNITED STATES | 287811       | 30             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 3928721         | CARY PRESTON CORNERS BRANCH       | 4725 SW CARY PARKWAY                     | CARY               | NC    | 27513    | WAKE         | UNITED STATES | 493434       | 46             | BANK OF NORTH CAROLINA | 1908082              |          |
| OK          |                | Full Service               | 4297554         | RALEIGH BRANCH                    | 4525 FALLS OF NEUSE ROAD                 | RALEIGH            | NC    | 27609    | WAKE         | UNITED STATES | 518772       | 26             | BANK OF NORTH CAROLINA | 1908082              |          |

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BNC Bancorp  
December 31, 2013

Report Item 3: Shareholders

| <u>Name, City, State, Country</u>                  | <u>Country of<br/>Citizenship or<br/>Incorporation</u> | <u>Number and Percentage of<br/>Class of Voting Stock</u> |
|--|--|---|
| Aquiline BNC Holding, LLC<br>New York, NY USA      | USA  | 1,507,054, - 7.0646% Common                               |
| Wellington Management Group, LLP<br>Boston, MA USA | USA  | 1,506,298 - 7.2955% Common                                |

Report Item 3.2

Individuals/Companies that held 5% or more voting securities during the year but not at the end of the year.

None

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BNC Bancorp  
December 31, 2013

Report Item 4: Directors and Officers

| Item 4 (1)<br><u>Name &amp; Address</u>                | Item 4 (2)<br><u>Principal Occupation</u> | Item 4 (3)(a)<br><u>Title &amp; Position with Holding Co.</u> | Item 4 (3)(b)<br><u>Title &amp; Position with Subsidiary</u> | Item 4 (3)(c)<br><u>Title &amp; Position with Other Businesses</u>   | Item 4 (4)(a)<br><u>Percentage of Voting Shares in Holding Company</u> | Item 4 (4)(c)<br><u>Percentage of Ownership in Other Companies Greater than 25%</u>  |
|--|---|---|--|--|--|--|
| James T. Bolt, Jr.<br>Charlotte, North Carolina        | N/A                                       | Director  | EVP<br>Director  | N/A  | 0.30%  | None   |
| Larry L. Callahan<br>Kemersville, North Carolina       | Surveyor                                  | Director  | Director   | Owner - Triad Land<br>Surveying, P.C.<br><br>Partner<br>Partner<br>Partner<br>Partner<br>Partner<br>Partner<br>Partner | 0.11%  | Triad Land Surveying, P.C. - 100%<br>Callahan, Inc. - 100%<br>Calmit Properties - 50%<br>Linville Rd Associates - 50%<br>O.T. Developers, LLC - 50%<br>Oakhurst of Kemersville LLC - 33.33%<br>J&L Forsyth Properties LLC - 50%<br>Sunnyside Development of Forsyth LLC - 50%<br>Deep Creek Falls, LLC - 50%<br>Reid Properties of Forsyth LLC - 50% |
| Richard D. Callicutt, II<br>High Point, North Carolina | N/A                                       | President/CEO<br>Director                                     | President/CEO<br>Director                                    |  | 0.97%  | None   |
| Joseph M. Coltrane, Jr.<br>Kemersville, North Carolina | Attorney                                  | Director  | Director   | Director and officer<br>Director and officer<br>Director and officer<br>Member and Manager<br>Member and Manager       | 0.30%  | Coltrane Grocery Co., Inc (own 1% - control 100%)<br>Twin Creek Development Co., Inc (own 50%, control 100%)<br>Tamarack Flat, Inc. (own 50%-control 100%)<br>Jolean Properties, LLC - (own 33.4% - control 100%)<br>Coltrane , Grubbs & James, PLLC - own 40% - control 40%)  |
| Ronald J. Gorczynski<br>Apex, North Carolina           | N/A                                       | EVP/CAO   | EVP/CAO  |  | 0.11%  | None   |
| Charles T. Hagan, III<br>Greensboro, North Carolina    | Attorney                                  | Director  | Director   | Member and Manager<br>Partner<br>Member and Manager<br>Member and Manager<br>Member and Manager                        | 0.28%  | Hanoca, LLC - 25%<br>Branch Development Group - 25%<br>JDC Manufacturing, LLC - 33%<br>H Group II, LLC - 25%<br>H Group III, LLC - 62.5%   |
| Elaine M. Lyerly<br>Cramerton, North Carolina          | Public Relations/<br>Marketing Executive  | Director  | Director   | CEO - Lyerly Agency<br>Managing Member   | 0.21%  | Lyerly Agency - 55.72%<br>Buffalo Girls LLC - 80%  |
| W. Swope Montgomery, Jr.<br>Jamestown, North Carolina  | Consultant                                | Director  | Director   |  | 0.58%  | None   |
| Lenin J. Peters, M.D.<br>High Point, North Carolina    | Medical Doctor                            | Director  | Director   | President - Bethany<br>Medical Center  | 2.49%  | None   |
| John S. Ramsey, Jr.                                    | Insurance Industry<br>Consultant          | Director  | Director   |  | 0.12%  | None   |

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December 31, 2013

Report Item 4: Directors and Officers

| Item 4 (1)<br><u>Name &amp; Address</u>           | Item 4 (2)<br><u>Principal Occupation</u>    | Item 4 (3)(a)<br><u>Title &amp; Position with Holding Co.</u> | Item 4 (3)(b)<br><u>Title &amp; Position with Subsidiary</u> | Item 4 (3)(c)<br><u>Title &amp; Position with Other Businesses</u>  | Item 4 (4)(a)<br><u>Percentage of Voting Shares in Holding Company</u> | Item 4 (4)(c)<br><u>Percentage of Ownership in Other Companies Greater than 25%</u>   |
|---|--|---|--|---|--|---|
| Durham, North Carolina                            |  |   |  |   |  |   |
| Thomas R. Sloan<br>Greensboro, North Carolina     | Retired                                      | Director  | Director   | Director/Treasurer  | 1.31%  | Mediwave Star Technology, Inc. - 40%  |
| Thomas R. Smith, CPA<br>Lexington, North Carolina | Certified Public Accountant                  | Director  | Director   | President<br>Secretary<br>Manager<br>No title<br>No title<br>No title<br>No title<br>No title   | 0.44%  | Smith, McWhorter, PA - 70%<br>J&A Investments, Inc - 50%<br>Davidson Industrial Properties, LLC - 33%<br>Carolina Investment Properties VII, LLC - 25%<br>Davidson-Craven Investment Management, LLC - 50%<br>NSPC - Gallimore Dairy, LLC - 28%<br>NSPC- Robinhood, LLC - 33%<br>NSPC-Regency, LLC - 32%  |
| David B. Spencer<br>Trinity, North Carolina       | N/A  | Sr EVP/CFO  | Sr EVP/CFO   | N/A   | 2.35%  | None  |
| Robert A. Team, Jr.<br>Lexington, North Carolina  | Commercial Real Estate Developer/<br>Manager | Director  | Director   | President - Carolina Investment Properties, Inc.<br>President<br>Manager and member<br>Manager and member<br>Manager and member<br>Manager and member<br>Manager and member<br>Manager and member | 0.19%  | Carolina Investment Properties, Inc - 100%<br>Team Concepts Inc - 100%<br>Carolina Income Properties IV, LLC - 50%<br>Carolina Income Properties VI, LLC - 25%<br>73 Investments - 42.8%<br>Carolina Income Properties VII, LLC - 50%<br>Carolina Income Properties VIII, LLC - 28.57%<br>Carolina Income Properties IX, LLC - 100%<br>Premier Center Assoc II, LLC - 50% |
| G. Kennedy Thompson<br>New York, New York         | Financial Services Executive                 | Director  | Director   | Principal - Aquiline Capital Partners, LLC  | 0.00%  | None  |
| D. Vann Williford<br>High Point, North Carolina   | Industrial Equipment Sales                   | Director  | Director   | CEO - Atlantic Coast Toyotalift<br>Partner  | 0.32%  | Vesco Material Handling Equipment Inc. dba Atlantic Coast Toyotalift - 95%<br>TWR Properties, LLC - 50%   |
| Richard F. Wood<br>High Point, North Carolina     | Financial Advisor                            | Director<br>Secretary   | Director<br>Secretary  | Financial Advisor/<br>Investment Officer - Wells Fargo Advisors   | 0.03%  | None  |

Item 4 (4)(b) Percentage of securities owned or held with power to vote in direct or indirect subs - None