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Approval expires September 30, 2018
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Board of Governors of the Federal Reserve System



FRB RICHMOND
Annual Report of Holding Companies—FR Y-6

Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844 (c)(1)(A)); Section 8(a) of the International Banking Act (12 U.S.C. § 3106(a)); Sections 11(a)(1), 25 and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); Section 211.13(c) of Regulation K (12 C.F.R. § 211.13(c)); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)) and section 10(c)(2)(H) of the Home Owners' Loan Act. Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

This report form is to be filed by all top-tier bank holding companies and top-tier savings and loan holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

NOTE: The *Annual Report of Holding Companies* must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report.

I, **Robert L. Reid**

Name of the Holding Company Director and Official

President/CEO

Title of the Holding Company Director and Official

attest that the *Annual Report of Holding Companies* (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.


Signature of Holding Company Director and Official

3/22/16
Date of Signature

For holding companies not registered with the SEC—
Indicate status of Annual Report to Shareholders:
 is included with the FR Y-6 report
 will be sent under separate cover
 is not prepared

For Federal Reserve Bank Use Only
RSSD ID 1133473
C.I. _____

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2015

Month / Day / Year

549300UTIMMYYWUWH42

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

CommunityOne Bancorp

Legal Title of Holding Company

1017 E. Morehead Street

(Mailing Address of the Holding Company) Street / P.O. Box

Charlotte

NC

28204

City

State

Zip Code

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Carrie W. Holmes

Senior Accountant

Name

Title

336-625-8279

Area Code / Phone Number / Extension

336-328-1623

Area Code / FAX Number

carrie.holmes@community1.com

E-mail Address

www.community1.com

Address (URL) for the Holding Company's web page

Does the reporter request confidential treatment for any portion of this submission?
 Yes Please identify the report items to which this request applies:

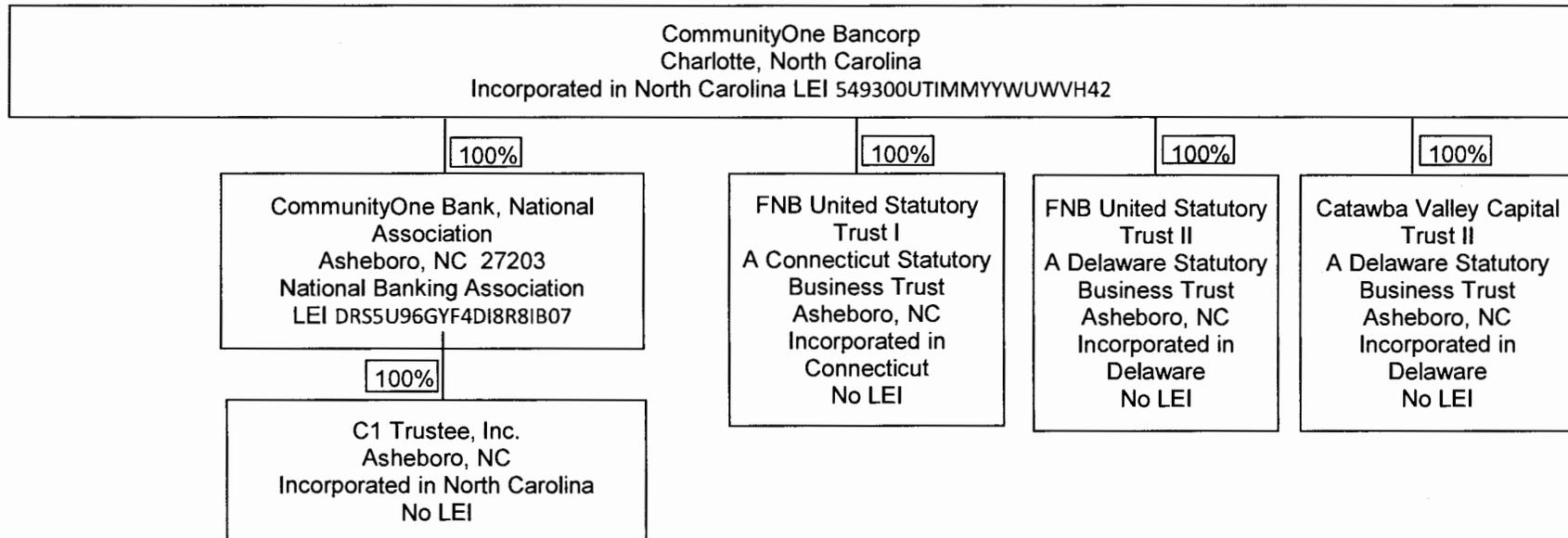
 In accordance with the instructions on pages GEN-2 and 3, a letter justifying the request is being provided.
 The information for which confidential treatment is sought is being submitted separately labeled "Confidential."
 No

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CommunityOne Bancorp
Charlotte, North Carolina
Fiscal Year Ending December 31, 2015

REPORT ITEM 1: Annual Report to Shareholders

Form 10-K was filed on March 11, 2016.

REPORT ITEM 2a: Organization Chart



Results: A list of branches for your holding company: COMMUNITYONE BANCORP (1133473) of CHARLOTTE, NC.
The data are as of 12/31/2015. Data reflects information that was received and processed through 01/07/2016.

Reconciliation and Verification Steps

1. In the Data Action column of each branch row, enter one or more of the actions specified below.
2. If required, enter the date in the Effective Date column.

Actions

OK: If the branch information is correct, enter 'OK' in the Data Action column.
Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column.
Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column.
Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.
Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper.

Submission Procedure

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information.
If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add.
The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - <https://y10online.federalreserve.gov>.

* FDIC UNINUM, Office Number, and ID_RSSD columns are for reference only. Verification of these values is not required.

Data Action	Effective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
OK		Full Service (Head Office)	591825	COMMUNITYONE BANK, NATIONAL ASSOCIATION	101 SUNSET AVENUE	ASHEBORO	NC	27203	RANDOLPH	UNITED STATES	3248		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	1163617	ARCHDALE BRANCH	10106 SOUTH MAIN STREET	ARCHDALE	NC	27263	RANDOLPH	UNITED STATES	204741		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	532220	SOUTH ASHEBORO BRANCH	106 EAST TAFT STREET	ASHEBORO	NC	27203	RANDOLPH	UNITED STATES	204739		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	2570329	BELMONT BRANCH	6440 WILKINSON BOULEVARD	BELMONT	NC	28012	GASTON	UNITED STATES	290817		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	3283327	BOONE BRANCH	325 LEOLA STREET	BOONE	NC	28607	WATAUGA	UNITED STATES	363681		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	2485900	CHARLOTTE-UPTOWN BRANCH	301 SOUTH MCDOWELL STREET, SUITE 100	CHARLOTTE	NC	28204	MECKLENBURG	UNITED STATES	56973		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	3538942	MATTHEWS BRANCH	2432 MCKEE ROAD	CHARLOTTE	NC	28270	MECKLENBURG	UNITED STATES	439915		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	2665643	SOUTH PARK BRANCH	3920 SHARON ROAD, SUITE B-140	CHARLOTTE	NC	28211	MECKLENBURG	UNITED STATES	290475		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	396879	CHINA GROVE BRANCH	200 NORTH MAIN STREET	CHINA GROVE	NC	28023	ROWAN	UNITED STATES	45189		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	3835061	CORNELIUS BRANCH	8231 MAGNOLIA ESTATES DRIVE	CORNELIUS	NC	28031	MECKLENBURG	UNITED STATES	488116		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	2445007	ELLERBE BRANCH	115 WEST SUNSET AVENUE	ELLERBE	NC	28338	RICHMOND	UNITED STATES	264567		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	2317645	GASTONIA BRANCH	804 SOUTH NEW HOPE ROAD	GASTONIA	NC	28054	GASTON	UNITED STATES	56019		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	2730356	GRAHAM BRANCH	1128 SOUTH MAIN STREET	GRAHAM	NC	27253	ALAMANCE	UNITED STATES	61128		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	470724	GRANITE FALLS BRANCH	23 NORTH MAIN STREET	GRANITE FALLS	NC	28630	CALDWELL	UNITED STATES	4039		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	3249127	FRIENDLY CENTER BRANCH	600 GREEN VALLEY ROAD	GREENSBORO	NC	27408	GUILFORD	UNITED STATES	432004		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	3406500	NEW GARDEN BRANCH	1655 NEW GARDEN ROAD	GREENSBORO	NC	27410	GUILFORD	UNITED STATES	450630		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	309525	HICKORY BRANCH	25 THIRD STREET NW	HICKORY	NC	28601	CATAWBA	UNITED STATES	208522		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	2867793	MOUNTAIN VIEW BRANCH	2900 HWY 127 SOUTH	HICKORY	NC	28602	CATAWBA	UNITED STATES	208532		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	310820	SPRINGS ROAD BRANCH	2220 12TH AVENUE NE	HICKORY	NC	28601	CATAWBA	UNITED STATES	208525		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	1192425	VIEWMONT BRANCH	3420 2ND STREET NE	HICKORY	NC	28601	CATAWBA	UNITED STATES	208526		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	3035768	HILLSBOROUGH BRANCH	102 MILLSTONE DRIVE	HILLSBOROUGH	NC	27278	ORANGE	UNITED STATES	361222		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	594022	HUDSON BRANCH	537 MAIN STREET	HUDSON	NC	28638	CALDWELL	UNITED STATES	208520		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	3823880	HILLTOP BRANCH	5605 HILLTOP ROAD	JAMESTOWN	NC	27282	GUILFORD	UNITED STATES	493098		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	
OK		Full Service	2746656	LAURINBURG BRANCH	380 PLAZA ROAD	LAURINBURG	NC	28352	SCOTLAND	UNITED STATES	264569		COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825	

OK		Full Service	4504458	EAST LENOIR BRANCH	509 WILKESBORO BLVD, NE	LENOIR	NC	28645	CALDWELL	UNITED STATES	Not Required	Not Required	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	471226	LENOIR BRANCH	707 COLLEGE AVENUE SW	LENOIR	NC	28645	CALDWELL	UNITED STATES	208521	53	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	188823	WHITNEL BRANCH	1351 NORWOOD STREET, SW	LENOIR	NC	28645	CALDWELL	UNITED STATES	208523	55	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	2497556	MILLERS CREEK BRANCH	2924 NC HIGHWAY 16 NORTH	MILLERS CREEK	NC	28651	WILKES	UNITED STATES	289744	40	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	9283297	MOORESVILLE BRANCH	141 WILLIAMSON ROAD	MOORESVILLE	NC	28117	IREDELL	UNITED STATES	423204	45	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	1400997	MORGANTON BRANCH	201 EAST MEETING STREET	MORGANTON	NC	28655	BURKE	UNITED STATES	208527	59	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	2570338	MOUNT HOLLY BRANCH	701 SOUTH MAIN STREET	MOUNT HOLLY	NC	28120	GASTON	UNITED STATES	290318	31	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	311322	DOWNTOWN NEWTON BRANCH	311 NORTH MAIN AVENUE	NEWTON	NC	28658	CATAWBA	UNITED STATES	208524	56	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	3157839	NORTHWEST NEWTON BRANCH	2675 NW BOULEVARD	NEWTON	NC	28658	CATAWBA	UNITED STATES	290371	36	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	113124	RAMSEUR BRANCH	6792 JORDAN ROAD	RAMSEUR	NC	27316	RANDOLPH	UNITED STATES	204738	6	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	348029	RANDLEMAN BRANCH	685 WEST ACADEMY STREET	RANDLEMAN	NC	27317	RANDOLPH	UNITED STATES	204737	5	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	796974	DOWNTON ROCKINGHAM BRANCH	115 SOUTH LAWRENCE STREET	ROCKINGHAM	NC	28379	RICHMOND	UNITED STATES	40868	15	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	2444998	ROCKINGHAM ROAD BRANCH	1222 ROCKINGHAM ROAD	ROCKINGHAM	NC	28379	RICHMOND	UNITED STATES	264566	16	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	2861746	FAITH ROAD BRANCH	225 FAITH ROAD	SALISBURY	NC	28146	ROWAN	UNITED STATES	287841	23	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	3549292	JAKE ALEXANDER BRANCH	1938 JAKE ALEXANDER BOULEVARD WEST	SALISBURY	NC	28147	ROWAN	UNITED STATES	459413	47	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	211927	SEAGROVE BRANCH	204 EAST MAIN STREET	SEAGROVE	NC	27341	RANDOLPH	UNITED STATES	204736	4	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	2438353	SILER CITY BRANCH	1711 EAST 11TH STREET	SILER CITY	NC	27344	CHATHAM	UNITED STATES	204743	11	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	2445025	SOUTHERN PINES BRANCH	495 PINEHURST AVENUE	SOUTHERN PINES	NC	28387	MOORE	UNITED STATES	264568	18	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	3150326	STANLEY BRANCH	206 SOUTH HIGHWAY 27	STANLEY	NC	28164	GASTON	UNITED STATES	365820	33	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	5283309	STATESVILLE BRANCH	1829 EAST BROAD STREET	STATESVILLE	NC	28625	IREDELL	UNITED STATES	423203	44	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825
OK		Full Service	3718801	WILKESBORO BRANCH	2841 WEST US HIGHWAY 421	WILKESBORO	NC	28697	WILKES	UNITED STATES	Not Required	Not Required	COMMUNITYONE BANK, NATIONAL ASSOCIATION	591825

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CommunityOne Bancorp
Charlotte, North Carolina
Fiscal Year Ending December 31, 2015

REPORT ITEM 3: Securities holders (1)(a)(b)(c) and (2)(a)(b)(c)

Current Shareholders with ownership, control or holdings of 5% or more with power to vote as of fiscal year ending 12/31/2015.

Shareholders not listed in 3(1)(a) through 3(1)(c) that had ownership, control or holdings of 5% or more with power to vote during the fiscal year ending 12/31/2015.

(1)(a) Name & Address (city, state, country)	(1)(b) Country of Citizenship or Incorporation	(1)(c) Number and Percentage of Each Class of Voting Securities	(2)(a) Name & Address (city, state, country)	(2)(b) Country of Citizenship or Incorporation	(2)(c) Number and Percentage of Each Class of Voting Securities
Carlyle Financial Services Harbor, L.P. Cayman Islands	¹ British Overseas Territory, Cayman Islands	5,772,376 - 23.8% of Common Stock	<i>None</i>		
Oak Hill Capital Partners III, L.P. and Oak Hill Capital Management Partners III, L.P. Cayman Islands	² British Overseas Territory, Cayman Islands	5,772,697 - 23.8% of Common Stock			

¹ Carlyle Group Management L.L.C. is the general partner of The Carlyle Group L.P., which is a publicly traded entity listed on NASDAQ. The Carlyle Group L.P., is the managing member of Carlyle Holdings II GP, L.L.C., which is the general partner of Carlyle Holdings II L.P., which is the general partner of TC Group Cayman Investment Holdings, L.P., which is the general partner of TC Group Cayman Investment Holdings Sub L.P., which is the sole shareholder of Carlyle Financial Services, Ltd., which is the general partner of TCG Financial Services, L.P., which is the general partner of Carlyle Financial Services Harbor, L.P.

² The general partner of Oak Hill Capital Partners III, L.P. and Oak Hill Capital Management Partners III, L.P. is OHCP GenPar III, L.P., a Cayman Islands limited partnership. The general partner of OHCP GenPar III, L.P. is OHCP MGP Partners III, L.P., a Cayman Islands limited partnership. The general partner of OHCP MGP Partners III, L.P. is OHCP MGP III, Ltd., a Cayman Islands company. The amounts shown also exclude ownership of 3,497 shares of Company Common Stock held by Oak Hill Capital Management, LLC in connection with compensation awarded to the director representative of Oak Hill on the Company's Board of Directors.

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CommunityOne Bancorp
Charlotte, North Carolina
Fiscal Year Ending December 31, 2015

REPORT ITEM 4: Insiders

(1) Name & Address (city & state)	(2) Principal Occupation if other than with Bank Holding Company	(3)(a) Title & Position with Bank Holding Company	(3)(b) Title & Position with Subsidiaries (include names of subs)	(3)(c) Title & Position with Other Businesses (include names of their businesses)	(4)(a) Percentage of Voting Shares in Bank Holding Company	(4)(b) Percentage of Voting Shares in Subsidiaries (include names of subs)	(4)(c) List names of other companies (includes partnerships) if 25% or more of voting securities are held (list names of companies and percentage of voting securities held)
Beth S. DeSimone Charlotte, NC USA	EVP/Secretary - CommunityOne Bank, N.A.	EVP/General Counsel/ Secretary - CommunityOne Bancorp	EVP/General Counsel/ Secretary - CommunityOne Bank, N.A.	N/A	0.15%	None	Best Years, LLC Knob Hill Group, Inc. 50.0% 50.0%
Scott B. Kauffman New York, NY USA	Principal - Oak Hill Capital	Director	Director - CommunityOne Bank, N.A.	Partner - Oak Hill Capital Board Member - Tri-Plus Holdings, Inc	23.78% ^A	None	N/A
Jerry R. Licari Charlotte, NC USA	President/Chief Financial/ Chief Operations Officer - Uncle Maddeo's of Charlotte, LLC	Director	Director - CommunityOne Bank, N.A.	Partner - Uncle Maddeo's of Charlotte, LLC	0.13%	None	Uncle Maddeo's of Charlotte, LLC 50.0%
Neil W. Machovec Charlotte, NC USA	EVP/Chief Credit Officer - CommunityOne Bancorp	EVP/Chief Credit Officer - CommunityOne Bancorp	EVP/Chief Credit Officer - CommunityOne Bank, N.A.	N/A	0.03%	None	N/A
J. Chandler Martin Charlotte, NC USA	Retired	Chairman of the Board - CommunityOne Bancorp	Chairman of the Board - CommunityOne Bank, N.A.	Board Member - CNL Healthcare Properties, Inc. & CNL Healthcare Properties II Board Member - Burroughs & Chapin Company, Inc. Advisory Board Member of Corrum Capital Management	0.12%	None	N/A
Angus M. McBryde, III Charlotte, NC USA	EVP/Treasurer - CommunityOne Bank, N.A.	EVP/Treasurer - CommunityOne Bancorp	EVP/Treasurer - CommunityOne Bank, N.A.	Limited Partner - MERM Partnership	0.18%	None	N/A
T. Gray McCaskill Greensboro, NC USA	Chief Executive Officer Senn Dunn Insurance, a Marsh & McLennan Agency, LLC Company	Director	Director - CommunityOne Bank, N.A.	CEO - Senn Dunn Insurance, a Marsh & McLennan Agency, LLC Company	0.01%	None	N/A
H. Ray McKenney, Jr. Cramerton, NC USA	President - MBM Auto Management	Director	Director - CommunityOne Bank, N.A.	President - MBM Auto Management Board of Trustees - Gaston Christian Schools Board Member - Carolina Cadillac Dealers Association	0.06%	None	McKenney Family Holdings, LLC McKenney Salinas Motorsports, LLC McKenney Investments, LLC SalRay Properties, LLC McKenney Rentals, LLC Lowrance McKenney Properties, LLC MGM Auto Management, LLC McKenney Chevrolet M&S Motorsports 55.0% 30.0% 50.0% 30.0% 95.0% 50.0% 100.0% 90.0% 30.0%
Gregory P. Murphy Charlotte, NC USA	EVP/Chief Workout Officer - CommunityOne Bank, N.A.	EVP/Chief Workout Officer - CommunityOne Bancorp	EVP/Chief Workout Officer - CommunityOne Bank, N.A.	Managing Member - Marblehead Advisors, LLC	0.24%	None	Marblehead Advisors, LLC 100.0%
David L. Nielsen Charlotte, NC USA	Chief Financial Officer/ Executive Vice President - CommunityOne Bank, N.A.	Chief Financial Officer/ Executive Vice President - CommunityOne Bancorp	Chief Financial Officer/ Executive Vice President - CommunityOne Bank, N.A.	N/A	0.32%	None	N/A
Margaret B. Norris Waxhaw, NC USA	EVP/Chief Operations Officer - CommunityOne Bank, N.A.	EVP/Chief Operations Officer - CommunityOne Bancorp	EVP/Chief Operations Officer - CommunityOne Bank, N.A.	Board Member - Western Carolina Alzheimer's Association	0.04%	None	Owner - Indigo B LLC 100.0%
John C. Redett New York, NY USA	Partner The Carlyle Group LP	Director	Director - CommunityOne Bank, N.A.	Board Member - TCW Group Board Member - EPIC Board Member - Duff & Phelps	23.76% ^B	None	N/A

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CommunityOne Bancorp
Charlotte, North Carolina
Fiscal Year Ending December 31, 2015

REPORT ITEM 4: Insiders

(1)	(2)	(3)(a)	(3)(b)	(3)(c)	(4)(a)	(4)(b)	(4)(c)
Name & Address (city & state)	Principal Occupation if other than with Bank Holding Company	Title & Position with Bank Holding Company	Title & Position with Subsidiaries (include names of subs)	Title & Position with Other Businesses (include names of their businesses)	Percentage of Voting Shares in Bank Holding Company	Percentage of Voting Shares in Subsidiaries (include names of subs)	List names of other companies (includes partnerships) if 25% or more of voting securities are held (list names of companies and percentage of voting securities held)
				Board Member - DBRS			
Robert L. Reid Charlotte, NC USA	President - CommunityOne Bank, N.A.	President/CEO/Director - CommunityOne Bancorp	President/CEO - CommunityOne Bank, N.A. Director - CommunityOne Bank, N.A.	N/A	0.30%	None	N/A
Boyd C. Wilson, Jr. Lenoir, NC USA	Executive Vice President - Broyhill Investments, Inc.	Director	Director - CommunityOne Bank, N.A.	EVP/Director - Broyhill Investments, Inc. Vice President/CFO - BMC Fund, Inc. Vice President/Board Member - H.B. Development, Inc. Vice President/Board Member - Broyhill Realty, Inc. Vice President/Board Member - Broyhill Timber Resources, Inc. Vice President, Broyhill Memorial Park Secretary & Treasurer, Hibriten Management of NC, LLC Treasurer, Broyhill Waken General Partners, LLC Vice President, Broyhill Family Foundation, Inc. Managing Member, Broyhill Partners, LLC CFO, Broyhill Strategic Partners, LLC Vice President & Managing Member, P.B. Realty, LLC Vice President, CFO & Manager, Aria Designs, LLC Vice President, General Developers Finance Company, Inc. Trustee, Faye A. Broyhill Legacy GST Trust #1 Vice President, CFO & Manager, Broyhill Asset Management, LLC CFO, Broyhill Exec-Greensboro PMC, LLC CFO, Broymed Greensboro, P MC, LLC CFO, Broyhill Knoxville Farragut, LLC CFO, 701 Knoxville Farragut, LLC CFO, Broyhill Raleigh Wesvill, LLC CFO, 701 Raleigh Wesvill, LLC CFO, Broyhill Knoxville Parkside, LLC CFO, Broyhill Asheboro, ASC, LLC CFO, Broyhill Villages Lange, LLC Trustee, Lenoir-Rhyne University Board Member - Caldwell Memorial Hospital, Inc. Board Member - Caldwell Health Care Foundation, Inc.	0.04%	None	N/A

A - 100 shares are personally owned by Mr. Kauffman. However, 5,589,136 shares are owned by Oak Hill Capital Partners III, L.P., 183,561 shares are owned by Oak Hill Capital Management Partners III, L.P. and 3,497 shares are owned by Oak Hill Capital Management, LLC and Mr. Kauffman disclaims beneficial ownership of the shares of common stock.

B - No shares were personally owned by Mr. Redett as of the reporting date. However, 5,772,376 shares are owned by Carlyle Financial Services Harbor, L.P. and Mr. Redett disclaims beneficial ownership of the shares of common stock.