# RECEIVED

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**FRB** RICHMOND

FR Y-6 OMB Number 7100-0297 Approval expires November 30, 2019 Page 1 of 2

**Board of Governors of the Federal Reserve System** 



Annual Report of Holding Companies—FR Y-6

#### Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I,	Marry	A	Obver
Na	me of the Holding Co		
	D	rec	for

Title of the Holding Company Director and Official

attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the apport concerning that individual.



This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. Intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2017

Month / Day / Year

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

HAO Management Company, LLC

Legal Title of Holding	Сотрапу	
PO Box 769		
(Mailing Address of th	e Holding Company) Street / P.O.	Box
Union	South Carolin	29379
City	State	Zip Code
100 E. Main St	reet, Union, SC 29379	

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

riany A. Oxiloi	Director
Name	Title
843-527-8020	
Area Code / Phone Number / Extension	
843-546-4111	
Area Code / FAX Number	

n/a E-mail Address

n/a

Address (URL) for the Holding Company's web page

Is confidential treatment requested for any portion of this report submission?	0=No 1=Yes 0	
In accordance with the General Instructions for this rep (check only one),	ort	
1. a letter justifying this request is being provided along with the report		
2. a letter justifying this request has been provided	separately 🗖	
NOTE: Information for which confidential treatment is b must be provided separately and labeled as "confidential."	eing requested	

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503, 03/2018

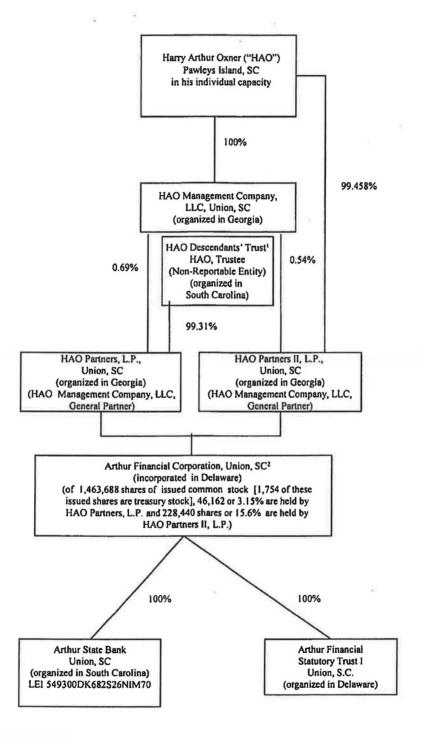
## For Use By Tiered Holding Companies

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Top-liered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

HAO Partners, LP Legal Title of Subsidiary Holding Company		HAO Partners II, L	P			
			Legal Title of Subsidiary Holding Company			
PO Box 481			PO Box 481	PO Box 481		
(Mailing Address of the S	ubsidiary Holding Company	y) Street / P.O. Box		baldiary Holding Company	) Street / P.O. Box	
Georgetown	State	29442	Georgetown	SC	29442	
City	State	Zlp Code	City	SC State	Zip Code	
235 Church Street, Georgetown, SC 29442		235 Church Street	, Georgetown, SC	29442		
Physical Location (if different from mailing address)			Physical Location (if differ	the second s		
					<u> </u>	
Legal Title of Subsidiary H	Iolding Company		Legal Title of Subsidiary H	lolding Company		
(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box			(Mailing Address of the Su	Ibsidiary Holding Company	) Street / P.O. Box	
City	State	Zip Code	City	State	Zip Code	
Physical Location (if different from melling address)		Physical Location (if differ	ent from malling address)			
Legal Title of Subsidiary H	folding Company		Legal Title of Subsidiary H	olding Company		
(Mailing Address of the Su	ubsidiary Holding Company	/) Street / P.O. Box	(Mailing Address of the Su	ibsidiary Holding Company	) Street / P.O. Box	
City	State	Zip Code	City	State	Zip Code	
Physical Location (If different from mailing address)			Physical Location (if different	ent from mailing address)	*	
Legel Title of Subsidiary H	lolding Company		Legal Title of Subsidiary H	olding Company		
(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box		(Malling Address of the Su	baidiary Holding Company	Street / P.O. Box		
City	State	Zip Code	City	State	Zip Code	
Physical Location (if differ	ent from mailing address)		Physical Location (if different	ent from mailing address)		

# HAO Management Company, LLC



1/ This trust is not a bank holding company

2/ This company files a separate Form Y-6.

3/ No entities have an LEI except for Arthur State Bank.

## Form FR Y-6 HAO Management Company, LLC HAO Partners, L.P. HAO Partners, II, L.P.

## **SHAREHOLDERS**

<u>HAO Management Company, LLC Shareholders</u>: As a limited liability company established under Georgia law, HAO Management Company, LLC ("HAOMC") does not issue shares. Harry Arthur Oxner, Pawleys Island, S.C., holds 100% of the limited liability company interest in HAOMC. Mr. Oxner is a United States citizen. There are no outstanding options, warrants or other securities or rights that can be converted into or exercised for interests in HAOMC.

<u>HAO Partners, L.P.</u>: HAO Partners, L.P. ("HAO I"), Union, South Carolina, is a limited partnership established under the law of the State of Georgia which does not issue shares. HAO Descendants' Trust, a trust established under the law of the State of South Carolina, holds 99.31% of the limited partnership interest in HAO I. Mr. Oxner is the sole trustee of the HAO Descendants' Trust. The HAO Descendants' Trust is not a bank holding company because it is exempt from the definition of the term "company" under 12 U.S.C. Section 1841(b) and 12 C.F.R. Section 225.2(d)(3). There are no outstanding options, warrants or other securities or rights that can be converted into or exercised for interests in HAO I. The Bancshares Companies file separate annual reports on Form FR Y-6.

<u>HAO Partners II, L.P.</u>: HAO Partners II, L.P. ("HAO II"), Union, South Carolina, is a limited partnership established under the law of the State of Georgia which does not issue shares. Mr. Oxner, in his individual capacity, holds 99.458% of the limited partnership interest in HAO II. There are no outstanding options, warrants or other securities or rights that can be converted into or exercised for interests in HAO II.

### DIRECTORS AND OFFICERS

## NAMES AND ADDRESS:

HAOMC Directors and Officers: Harry Arthur Oxner, Pawleys Island, S.C., is the sole officer and director of HAOMC.

HAO I Directors and Officers: HAO I has no directors or officers. Its general manager is HAOMC, Union, S.C.

HAO II Directors and Officers: HAO II has no directors or officers. Its general manager is HAOMC, Union, S.C.

## **PRINCIPAL OCCUPATION:**

<u>HAOMC</u>: Mr. Oxner's principal occupation is partner in the law firm of Oxner & Stacy, Georgetown, S.C.

HAO I and HAO II: Item 4(2) is not applicable to HAOMC, the general manager of HAO I and HAO II.

## TITLE OR POSITION

HAOMC: Mr. Oxner is also the sole director and officer of HAOMC. See the

information above concerning Mr. Oxner's principal occupation. In addition, he

is a director of Arthur Financial Corporation in which he holds 44,784 shares

(3.06%) in his Individual Retirement Account. Mr. Oxner is the sole trustee of

the HAO Descendants' Trust.

<u>HAO I and HAO II</u>: Item 4(3) is not applicable to HAOMC, the general partner of HAO I and HAO II.

## PERCENTAGE OF EACH CLASS OF VOTING SECURITIES OWNED, CONTROLLED OR HELD

<u>HAOMC</u>: Mr. Oxner owns 100% of the limited liability company interest in HAOMC, and 99.458% of the limited partnership interest in HAO II.

**ORGANIZATION CHART** 

See attached

# HAO Management Co., LLC Balance Sheet Prev Year Comparison As of December 31, 2016

	Dec 31, 16	Dec 31, 15
ASSETS		
Current Assets		
Checking	11.77	11.77
Total Current Assets	11.77	11.77
Investments		
HAO Partners II, LP	33,651.53	33,651.53
HAO Partners, LP	6,945.80	6,945.80
Total Investments	40,597.33	40,597.33
TOTAL ASSETS	40,609.10	40,609.10

MEMBER"S CAPITAL EQUITY		
Total Equity	40,609.10	40,609.10
TOTAL MEMBER''S CAPITAL EQUITY	40,609.10	40,609.10

# RECEIVED

FR Y-6 OMB Number 7100-0297 Approval expires November 30, 2019 Page 1 of 2

Board of Governors of the Federal Reserve System

MAR 16 2018



Annual Report of Holding Companies PR Y-6

### Report at the close of business as of the end of fiscal year

This Report is required by law: Section 5(c)(1)(A) of the Bank Holding Company Act (12 U.S.C. § 1844(c)(1)(A)); sections 8(a) and 13(a) of the International Banking Act (12 U.S.C. §§ 3106(a) and 3108(a)); sections 11(a)(1), 25, and 25A of the Federal Reserve Act (12 U.S.C. §§ 248(a)(1), 602, and 611a); and sections 113, 165, 312, 618, and 809 of the Dodd-Frank Act (12 U.S.C. §§ 5361, 5365, 5412, 1850a(c)(1), and 5468(b)(1)). Return to the appropriate Federal Reserve Bank the original and the number of copies specified.

NOTE: The Annual Report of Holding Companies must be signed by one director of the top-tier holding company. This individual should also be a senior official of the top-tier holding company. In the event that the top-tier holding company does not have an individual who is a senior official and is also a director, the chairman of the board must sign the report. If the holding company is an ESOP/ESOT formed as a corporation or is an LLC, see the General Instructions for the authorized individual who must sign the report.

I, DANIEL O. COOK, JR	I,	DANIEL	О.	COOK,	JR	
-----------------------	----	--------	----	-------	----	--

Name of the Holding Company Director and Official

#### EVP & CFO, COO

Title of the Holding Company Director and Official

attest that the Annual Report of Holding Companies (including the supporting attachments) for this report date has been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

With respect to information regarding individuals contained in this report, the Reporter certifies that it has the authority to provide this information to the Federal Reserve. The Reporter also certifies that it has the authority, on behalf of each individual, to consent or object to public release of information regarding that individual. The Federal Reserve may assume, in the absence of a request for confidential treatment submitted in accordance with the Board's "Rules Regarding Availability of Information," 12 C.F.R. Part 261, that the Reporter and individual consent to public release of all details in the report concerning that individual.

110		Congon	L	maivi
Dan	rec a	- UT	1 1	
Signature of	Holding Co	ompany Dir	ector and	Official

03/12/2018	

Date of Signature

For holding companies not registered with the SEC-Indicate status of Annual Report to Shareholders:

9658

is included with the FR Y-6 report

will be sent under separate cover

is not prepared

RSSD ID C.I.

For Federal Reserve Bank Use Only

This report form is to be filed by all top-tier bank holding companies, top-tier savings and loan holding companies, and U.S. intermediate holding companies organized under U.S. law, and by any foreign banking organization that does not meet the requirements of and is not treated as a qualifying foreign banking organization under Section 211.23 of Regulation K (12 C.F.R. § 211.23). (See page one of the general instructions for more detail of who must file.) The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, an information collection unless it displays a currently valid OMB control number.

Date of Report (top-tier holding company's fiscal year-end):

December 31, 2017

Month / Day / Year

N/A

Reporter's Legal Entity Identifier (LEI) (20-Character LEI Code)

Reporter's Name, Street, and Mailing Address

Arthur	Financial	Cor	poration

Legal Title of Holding Company DO Boy 760

(Mailing Address of th	e Holding Company) Street /	P.O. Box
Union	SC	29379
City	State	Zip Code
100 East Main	Street	

Physical Location (if different from mailing address)

Person to whom questions about this report should be directed:

Daniel O. Cook, Jr.	EVP & CFO, COO
Name	Title
864-466-4504	
Area Code / Phone Number / Extension	
864-466-4557	
Area Code / FAX Number	
dcook@arthurstatebank.com	
E-mail Address	
www.arthurstatebank.com	
Address (URL) for the Holding Company's	web page

Is confidential treatment requested for any portion of this report submission?	0=No 1=Yes 0
In accordance with the General Instructions for this report (check only one),	t
<ol> <li>a letter justifying this request is being provided alo with the report</li> </ol>	
2. a letter justifying this request has been provided se	eparately 🗋
NOTE: Information for which confidential treatment is bei must be provided separately and labeled as "confidential."	ng requested

Public reporting burden for this information collection is estimated to vary from 1.3 to 101 hours per response, with an average of 5.50 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0297), Washington, DC 20503.

# For Use By Tiered Holding Companies

Top-tiered holding companies must list the names, mailing address, and physical locations of each of their subsidiary holding companies below.

			1							
Legal Title of Subsidiary Holding	Company		Legal Title of Subsidiary Holding Company							
(Mailing Address of the Subsidia	ry Holding Company	) Street / P.O. Box	(Mailing Address	(Mailing Address of the Subsidiary Holding Company) Street / P.O. Box						
City	State	Zip Code	City	State	Zip Code					
Physical Location (if different from	m mailing address)		Physical Location	n (if different from mailing address)						
Legal Title of Subsidiary Holding	Company		Legal Title of Sub	osidiary Holding Company						
(Mailing Address of the Subsidia	ry Holding Company	/) Street / P.O. Box	(Mailing Address	of the Subsidiary Holding Company)	Street / P.O. Box					
City	State	Zip Code	City	State	Zip Code					
Physical Location (if different from	m mailing address)		Physical Location	n (if different from mailing address)						
Legal Title of Subsidiary Holding	Company		Legal Title of Sub	osidiary Holding Company						
(Mailing Address of the Subsidia	ry Holding Company	/) Street / P.O. Box	(Mailing Address	of the Subsidiary Holding Company)	Street / P.O. Box					
City	State	Zip Code	City	State	Zip Code					
Physical Location (if different fro	m mailing address)		Physical Location	n (if different from mailing address)						
Legal Title of Subsidiary Holding	Company		Legal Title of Sut	osidiary Holding Company						
(Mailing Address of the Subsidia	ry Holding Company	/) Street / P.O. Box	(Mailing Address	of the Subsidiary Holding Company)	Street / P.O. Box					
City	State	Zip Code	City	State	Zip Code					
Physical Location (if different from	m mailing address)		Physical Location	n (if different from mailing address)						

## ARTHUR FINANCIAL CORPORATION UNION, SOUTH CAROLINA

19 y 19

## YEAR ENDING DECEMBER 31, 2017

## **REPORT ITEM:**

- 1: a. ARTHUR FINANCIAL CORPORATION IS NOT REQUIRED TO PREPARE FORM 10K WITH THE SEC.
- 1: b. ENCLOSED IS A COPY OF THE ANNUAL REPORT PREPARED FOR STOCKHOLDERS.
- 2. ORGANIZATIONAL CHART

HOLDING COMPANY: ARTHUR FINANCIAL CORPORATION

SUBSIDIARIES OF HOLDING COMPANY:

ARTHUR STATE BANK - 549300DK682S26NIMG70 ARTHUR FINANCIAL STATUTORY TRUST I - NO LEI

ARTHUR FINANCIAL CORPORATION OWNS:

100% OF ARTHUR STATE BANK 100% OF ARTHUR FINANCIAL STATUTORY TRUST I Results: A list of branches for your depository institution: ARTHUR STATE BANK (ID\_RS5D: 591320), This depository institution is held by ARTHUR FINANCIAL CORPORATION (310558) of UNION, SC. The data are as of 13/31/2021, Data reflects information that was received and processed through 01/04/2018,

#### Reconciliation and Verification Steps

1. In the Data Action column of each branch row, enter one or more of the actions specified below 2. If required, enter the date in the Effective Date column

#### Actions

OK: If the branch information is correct, enter 'OK' in the Data Action column,

Change: If the branch information is incorrect or incomplete, revise the data, enter 'Change' in the Data Action column and the date when this information first became valid in the Effective Date column. Close: If a branch listed was sold or closed, enter 'Close' in the Data Action column and the sale or closure date in the Effective Date column. Delete: If a branch listed was never owned by this depository institution, enter 'Delete' in the Data Action column.

Add: If a reportable branch is missing, insert a row, add the branch data, and enter 'Add' in the Data Action column and the opening or acquisition date in the Effective Date column.

If printing this list, you may need to adjust your page setup in MS Excel. Try using landscape orientation, page scaling, and/or legal sized paper-

#### Submission Procedure

When you are finished, send a saved copy to your FRB contact. See the detailed instructions on this site for more information. If you are e-mailing this to your FRB contact, put your institution name, city and state in the subject line of the e-mail.

Note:

To satisfy the FR Y-10 reporting requirements, you must also submit FR Y-10 Domestic Branch Schedules for each branch with a Data Action of Change, Close, Delete, or Add, The FR Y-10 report may be submitted in a hardcopy format or via the FR Y-10 Online application - https://y10online.federalreserve.gov,

\* FDIC UNINUM, Office Number, and ID\_RSSD columns are for reference only. Verification of these values is not required.

Data Action Eff	fective Date	Branch Service Type	Branch ID_RSSD*	Popular Name	Street Address	City	State	Zip Code	County	Country	FDIC UNINUM*	Office Number*	Head Office	Head Office ID_RSSD*	Comments
ok		Full Service (Head Office)	591370	ARTHUR STATE BANK	100 EAST MAIN STREET	UNION	SC	29379	UNION	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	3
ok.		Full Service	2637464	CLINTON OFFICE	205 JACOB'S HWY	CLINTON	sc	29325	LAURENS	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	0
ok		Full Service	3355523	BELTLINE BOULEVARD BRANCH	2140 BELTLINE BOULEVARD	COLUMBIA	SC	29209	RICHLAND	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	3
ok		Full Service	3649866	CLEMSON ROAD BRANCH	121 SPARKLEBERRY CROSSING	COLUMBIA	ISC	29229	RICHLAND	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	J
ok		Full Service	3649875	GERVAIS STREET BRANCH	1700 GERVAIS STREET	COLUMBIA	SC	29201	RICHLAND	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	5
ok		Full Service	3178188	GREENVILLE BRANCH	1410 N PLEASANTBURG DR	GREENVILLE	SC	29607	GREENVILLE	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	) (
ok		Full Service	3220355	GREENVILLE BRANCH AUGUSTA ROAD	1925 AUGUSTA ROAD	GREENVILLE	SC	29605	GREENVILLE	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	0
ok		Full Service	3923865	LEXINGTON BRANCH	5051 SUNSET BOULEVARD	LEXINGTON	SC	29072	LEXINGTON	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	J
ok		Full Service	3284762	BOCK HILL BRANCH	201 SOUTH HERLONG AVE	ROCK HILL	SC	29732	YORK	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	2
ok		Full Service	2638395	ROEBUCK BRANCH	4285 SOUTH CHURCH ST EXTENSION	ROEBUCK	SC	29376	SPARTANEURG	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	5
ok		Full Service	2481171	EAST MAIN STREET BRANCH	756 EAST MAIN STREET	SPARTANBURG	SC	29302	SPARTANBURG	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	5
ok		Full Service	2824974	GREENVILLE HIGHWAY BRANCH	8770 WARREN H ABERNATHY HWY	SPARTANBURG	SC	29301	SPARTANBURG	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	3
ok		Full Service	726421	MONARCH BRANCH	1412 LOCKHART HIGHWAY	UNION	SC	29379	UNION	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	0
ok		Full Service	3220368	PLAZA BRANCH	1228 SOUTH DUNCAN BYPA55	UNION	SC	29379	UNION	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	3
ok		Full Service	111625	WEST TOWNE PLAZA BRANCH	SO1 NORTH DUNCAN BYPASS	UNION	SC	29379	UNION	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	0
ok		Full Service	730325	LAURENS ROAD BRANCH	731 LAURENS ROAD	WOODRUFF	SC	29388	SPARTANBURG	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	J
ok		Full Service	115829	NORTH MAIN STREET BRANCH	595 NORTH MAIN ST	WOODRUFF	SC	29388	SPARTANBURG	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	3
ok		Full Service	595026	SOUTH MAIN STREET BRANCH	131 SOUTH MAIN STREET	WOODRUFF	SC	29388	SPARTANBURG	UNITED STATES	Not Required	Not Required	ARTHUR STATE BANK	591320	3

#### FORM FR Y- 6

### ARTHUR FINANCIAL CORPORATION

#### FISCAL YEAR ENDING DECEMBER 31, 2017

REPORT ITEM 3: SECURITIES HOLDERS

## (1) (A, B,C) AND (2) (A,B,C)

CURRENT SECURITIES HOLDER OF 5% OR MORE WITH POWER December 31, 2016			SECURITIES HOLDERS NOT LISTED IN 3(1)(A) THROUGH 3(1)C THAT HAD OWNERSHIP OR HOLDINGS OF 5% OR MORE WITH POWER TO VOTE DURING THE FISCAL YEAR ENDING 12-31-2016					
(1)(A) NAME CITY,STATE, COUNTRY	(1)(B) COUNTRY OF CITIZENSHIP	(1)C NUMBER & PERCENTAGE OF EACH CLASS OF VOTING	(2)(A) NAME CITY,STATE, COUNTRY	(2)(B) COUNTRY OF CITIZENSHIP	(2)C NUMBER & PERCENTAGE OF EACH CLASS OF VOTING			
J CARLISLE OXNER, III UNION, SC	USA	41,922 - 2.868% COMMON						
JCO PARTNERS II, LP JNION, SC	USA	242,960 - 16.619% COMMON	NONE					
JCO PARTNERS, LP UNION, SC	USA	49,048 - 3,355% COMMON						
HARRY A. OXNER GEORGETOWN, SC	USA	42,637 - 2.916% COMMON						
HAO PARTNERS II, LP GEORGETOWN, SC	USA	228,440 - 15.626% COMMON						
HAO PARTNERS, LP GEORGETOWN, SC	USA	46,162 - 3,158% COMMON						
OJ PARTNERS II, LP COLUMBIA, SC	USA	196,876 - 13,467% COMMON						
OJ PARTNERS, LP COLUMBIA, SC	USA	49,108 - 3,359% COMMON						

#### FORM FR Y - 6

#### ARTHUR FINANCIAL CORPORATION

#### FISCAL YEAR ENDING DECEMBER 31, 2017

#### REPORT ITEM 4: INSIDERS (1) (2) (3)(A,B,C) AND (4) (A,B,C)

(1) NAMES, CITY STATE, COUNTRY	(2) PRINCIPAL OCCUPATION	(3)A TITLE & POSITION WITH BHC	(3)(B) TITLE & POSITION WITH SUBSIDIARY	(3)C TITLE & POSITION WITH OTHER BUSINESS	(4)A % OF VOTING SHARES -BHC	(4)B % OF VOTING SHARES-SUBSIDIARIES	(4)C OTHER COMPANIES IF \$ 25% OR MORE
J CARLISLE OXNER, JR COLUMBIA, SC USA	ATTORNEY	DIRECTOR AND CHAIRMAN	DIRECTOR & CHAIRMAN	OWNER - OXNER & OXNER LAW FIRM	0.76%	NONE	NONE
J CARLISLE OXNER, III UNION, SC USA	N/A	DIRECTOR AND PRESIDENT & CEO	DIRECTOR AND PRESIDENT & CEO	N/A	2,87%	NONE	NONE
HARRY A OXNER GEORGETOWN, SC USA	ATTORNEY	DIRECTOR AND BOARD SECRETARY	DIRECTOR AND BOARD SECRETARY	PARTNER - OXNER & STACY LAW FIRM	2.92%	NONE	NONE
DANIEL O. COOK, JR. CLINTON, SC USA	NA	DIRECTOR AND EVP & CFO, COO	DIRECTOR AND EVP & CFO, COO	N/A	0.003%	NONE	NONE
WILLIAM DOGAN WILSON CAMPOBELLO, SC USA	RETIRED - TEXTILES	DIRECTOR	DIRECTOR	N/A	0.004%	NONE	NONE
JUSTIN A CONVERSE SPARTANBURG, SC USA	MINERALS HANDLING COAL BROKERAGE	DIRECTOR	DIRECTOR	OWNER & PRESIDENT CONVERSE & COMPANY, INC	0,13%	NONE	100% CONVERSE & CO
JCO PARTNERS II, LP UNION, SC USA	LIMITED PARTNERSHI (TO HOLD INDIVIDUAL HOLDING COMPANY S	BANK	STOCKHOLDER	N/A	16.619%	NONE	NONE
HAO PARTNERS II, LP GEORGETOWN, SC USA	LIMITED PARTNERSHI (TO HOLD INDIVIDUAL HOLDING COMPANY S	BANK	STOCKHOLDER	N/A	15.626%	NONE	NONE
FOJ PARTNERS II, LP COLUMBIA, SC USA	LIMITED PARTNERSHI (TO HOLD INDIVIDUAL HOLDING COMPANY S	BANK	STOCKHOLDER	N/A	13,467%	NONE	NONE